

DEFENSE LOGISTICS AGENCY
HEADQUARTERS
CAMERON STATION
ALEXANDRIA, VIRGINIA 22304-6100



16 APR 1993

IN REPLY
REFER TO

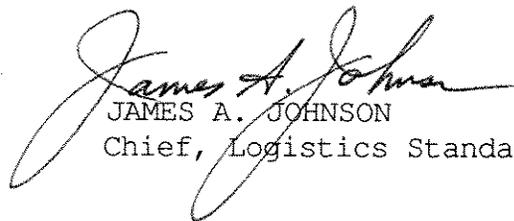
DLMSO (DLA-LMC)

SUBJECT: DoD Joint Small Arms Coordinating Group (JSACGG) Meeting
23-25 February 1993

TO: SEE DISTRIBUTION

1. The enclosed Memorandum of Meeting is forwarded for your information. Actions in response to the tasks in the enclosed disposition paragraphs are due NLT 60 days after the date of the minutes. The next JSACG meeting is tentatively scheduled for November 1993.
2. The DLMSO point of contact is Mr. Frank St. Mark, (703) 274-4701 (Ext. 411), DSN 284-4701 (Ext. 411).

1 Encl


JAMES A. JOHNSON
Chief, Logistics Standards

DISTRIBUTION:

Office of Assistant Secretary
of Defense (P&L)

ATTN: DASD (L) MRM

Director, Defense Logistics Agency

ATTN: DLA-OS

Commander, Naval Sea Systems Command

ATTN: SEA 62E

Commander, U. S. Army Armament, Munitions and Chemical Command

ATTN: AMSMS-MMD-LW

Commander, Warner Robins Air Logistics Center

ATTN: WR-ALC/LKJL

Commanding General, Marine Corps Logistic Base

ATTN: Code 833

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ATTN: LOEA-OS



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IN REPLY
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16 APR 1993

DLMSO (DLA-LMC)

MEMORANDUM OF MEETING

SUBJECT: Summary of DoD Joint Small Arms Coordinating Group (JSACG)
Meeting

I. PURPOSE: The subject meeting was held at the Defense Logistics Management Standards Office, Alexandria, VA on 23-25 February 1993. The purpose of the meeting was to discuss new agenda items as well as agenda items held over from the 22-24 October 1991 meeting. The agenda is at Enclosure 1 and the list of attendees is at Enclosure 2.

II. BRIEF SUMMARY OF DISCUSSIONS:

A. Administrative: The JSACG Chairperson welcomed the group, provided an overview of the agenda, and requested additional agenda topics. Mr. Dick Brown, the Navy representative, recommended that DoD forward a memorandum to the Services Secretaries and Director, Defense Logistics Agency to emphasize the importance of the DoD Small Arms Serialization Program. The purpose of the memorandum is to ensure that the Components provide adequate funds to maintain a viable program. Mr. Brown further recommended that the memorandum be drafted by the JSACG Chairperson and staffed with the JSACG. A copy of the draft memorandum is at Enclosure 3.

B. Agenda Items:

1. **DOD CENTRAL REGISTRY REPORT (93-6)**

a. Discussion. In the absence of the DoD Central Registry representative, an Army Materiel Command representative provided the DoD Central Registry Report. The report prompted several questions and a lengthy discussion. It was the consensus of the group that the report required clarification. For example, it was not clear whether the **Master File Totals** included active and inactive items or

SUBJECT: Summary of DoD Joint Small Arms Coordinating Group (JSACG) Meeting

active items only. Further, it was not clear how many Category I non-nuclear missiles and rockets are included in the Army totals. The group also discussed the **Open Shipments - Army To Other Services** and **Inquiries** to the DoD Registry. This portion also prompted discussions that concluded the totals require clarification.

b. Disposition: To ensure the accuracy and usability of the **DoD Central Registry Annual Operating Report of the DoD Registry**, the JSACG representatives were tasked to:

(1) Central Registry and Components. Validate the Master File Totals to include determining whether the totals represent active and inactive items or active items only.

(2) Central Registry. Determine the actual number of items in the Army's file excluding Category I non-nuclear missiles and rockets.

(3) Central Registry. Validate Inquiry statistics to include those reported in FY 91.

(4) Components. Develop an Inquiry Report. The report, as a minimum, must include the number of direct Component inquiries, number of hits, and number of inquiries forwarded to the Central Registry. An inquiry is defined as a request for information that is investigative in nature. The FY 92 baseline report may include actual or estimated inquiries. Beginning in March 1993, and throughout FY 93, the report should represent actual inquiries.

(5) Components. Validate the Army's open shipments to other Components statistics and take necessary action to confirm receipts.

2. DETERMINATION OF REPORTING REQUIREMENTS FOR AIR PISTOLS AND AIR RIFLES (92-9)

a. Discussion: During the October 1991 meeting, the group recommended that "pellet guns .177 caliber and above" be added to the small arms definition. Subsequent to the publication of the minutes, the Chairperson received several telephone calls questioning

SUBJECT: Summary of DoD Joint Small Arms Coordinating Group (JSACG) Meeting

the rationale for proposing to include air pistols and air rifles in the small arms definition. Based on the inquiries and conversations with the Marine Corps JSACG representative, a thorough review of the previous Chairperson's files was conducted. The review revealed that a request for determination of air pistols and air rifles was forwarded to the previous Chairperson in January 1991. The Chairperson determined in a February 1991 memorandum that "Air pistols and air rifles do not require reporting within the DoD Small Arms Serialization Program. The rationale which supports this ruling is that these items meet neither the letter nor the intent of the definition of small arms as promulgated in MILSTRAP chapter 12, they are not lethal by nature, and they require no special security protection under AR 190-11."

b. Disposition: Based on the February 1991 determination, the proposal to add air pistols and air rifles to the DoD definition of small arms is withdrawn. A copy of the February 1991 determination memorandum is at Enclosure 4.

3. REVISING MILSTRAP CHAPTER 12 (92-7)

a. Component Program Overview

(1) Discussion: During the October 1991 meeting the group agreed that prior to revising MILSTRAP chapter 12 it was necessary for all concerned to get an appreciation of each Component Small Arms Serialization Program. The group were then tasked to review their implementing policy and procedures, identify the differences between chapter 12 and their Component policy and procedures, and present an overview of their program at the next meeting.

(2) Disposition: Each Component presented an overview of their Small Arms Serialization Program. In general, the Components implementation of MILSTRAP chapter 12 was satisfactory. The biggest difference was in the amount of automation being applied to the program. Also, there were no glaring holds where loss of visibility would become a factor.

SUBJECT: Summary of DoD Joint Small Arms Coordinating Group (JSACG) Meeting

b. Review Chapter 12 and Component Policy/Procedures

(1) Discussion: With the Components presentations and follow-on discussions fresh in mind, the group reviewed chapter 12.

(2) Disposition: The review resulted in the following:

(a) Page 12-1, paragraph B1, line five. Revise paragraph to include captured weapons: "be foreign and commercial weapons, and museum pieces with serial numbers, and captured enemy/hostile force small arms)."

(b) Page 12-1, paragraph B3: Revise paragraph to include Foreign weapons:

"3. Registering Small Arms

a. **Small Arms Without an NSN and/or Serial Number.** Small arms without an ...numbers, when discovered **determined**,in the following format:...NSNother nomenclature data)

b. **Foreign Weapons.** When foreign weapons serial numbers contain unidentifiable characters (non-English alpha characters/non-Arabic numerals) that can be translated into an alpha/numeric equivalent, the translated serial number shall be permanently inscribed on the weapon and reported to the Component and DoD Central Registries. When the foreign weapon serial number cannot be translated into alpha/numeric equivalents, the Component shall assign a serial number, permanently inscribe the serial number on the weapon, and report the weapon to the Component and DoD Central Registries."

(c) Page 12-4, paragraph E2g: Central Registry and Components review the validity of the paragraph and determine if required in light of paragraph E2j.

(d) Page 12-5, paragraph E2j: Components review the requirement to perform an annual reconciliation, determine the feasibility of performing the reconciliation every three years, and provide recommendation(s) with rationale.

SUBJECT: Summary of DoD Joint Small Arms Coordinating Group (JSACG) Meeting

(e) Page 12-5, paragraph E3: Revise to read: "The JSACG responsibilities are as set forth in ~~DoD 4140.52~~ **DoD 4140.1-R** (reference (ee)). The mailing address for the JSACG is: Defense Logistics Management Standards Office, ATTN: Small Arms Program Administrator, 6301 Little River Turnpike, Suite 220, Alexandria, VA 22312-3508.

(f) Page 12-5, paragraph E4: Delete paragraph.

(g) Page 12-6, paragraph G2a: Central Registry and Components verify if the requirements of the paragraph are being accomplished. If so, provide details. If not, provide rationale.

(h) Page 12-10, paragraph H: Central Registry and Components review (verify) the paragraph's timeframes and determine if the allotted number of days in each subparagraph are realistic. If not realistic, provided recommended number of days by subparagraph with rationale. Also, consider overseas shipments in your recommendations.

4. CAPTURED WEAPONS - MARINE CORPS/ARMY STRAWMAN (93-1)

a. Discussion: During the October 1991 meeting the group discussed the lack of policy and procedures regarding captured weapons. The Marine Corps representative indicated that the Marine Corps had developed procedures for reporting captured enemy/hostile force small arms for the Marine Expeditionary Forces and suggested the procedures could be used as a strawman to develop recommended changes to the DoD 4140.1-R and MILSTRAP chapter 12. Subsequent to the meeting, the Chairperson found that the Army's AR 710-3 also addressed foreign weapons registration. The group discussed both references and the issues surrounding captured weapons.

b. Disposition: Based on the discussions, the group recommended the changes contained in agenda item 92-7, paragraph II.B.1.c(2)(b)[2] of the minutes. The recommended changes to DoD 4140.1-R, Materiel Management Regulation, are contained in agenda item 93-3.

SUBJECT: Summary of DoD Joint Small Arms Coordinating Group (JSACG) Meeting

5. Identification of Small Arms on Data Bases - - Army Reportable Item Control Codes (RICCs) (93-2)

a. Discussion: The Army's RICCs are assigned to items to identify which assets require serial number tracking and reporting. During the Army's 12-14 January 1993 Unique Item Tracking meeting, the JSACG Chairperson was requested to determine how the other Components identified items in their data bases that require serial number tracking and reporting. The Chairperson agreed to research and discuss the topic at the 23-25 February 1993 JSACG meeting.

b. Disposition: Since the group were not prepared to discuss the specifics of the topic (i.e., exact code(s) and definitions), the Components (except Army) were tasked to research the topic and determine the existence and/or need to establish standardize small arms tracking and reportable codes.

6. DoD 4140.1-R Materiel Management Regulation Chapter 6, Paragraph D, DoDSASP (93-3)

a. Discussion: During the 22-24 October 1991 JSACG meeting, the group reviewed the draft DoD 4140.1-R DoDSASP policy and recommended several changes. During this meeting, a copy of the undated/signed DoD 4140.1-R chapter 6, paragraph D, was provided to the group to review. During the review, the group recommended the change in agenda item 93-2 be added to the previously submitted changes that were not included in the undated/signed DoD 4140.1-R.

b. Disposition: The group recommended that the changes to DoD 4140.1-R be made based on comments and rationale provided at Enclosure 5.

7. DoD Physical Security of Sensitive Conventional Arms, Ammunition, and Explosives, DoD 5100.76-M, Sep 1992 (93-4)

SUBJECT: Summary of DoD Joint Small Arms Coordinating Group (JSACG) Meeting

a. Discussion: In April 1992, OASD(C3I) forwarded a copy of draft DoD 5100.76-M to the JSACG Chairperson for review and comment. During the review, it was found that the manual contained verbatim language from DoDI 4140.52, DoDSASP (now cancelled). It appeared that prior to July 1984 the DoDSASP policy and procedures were promulgated in DoD 5100.76-M. The review was straight forward; i.e., deleting policies and procedures now contained in DoD 4140.1-R and MILSTRAP chapter 12. However, a new requirement was added to the manual that the Chairperson felt should be brought to the attention of the JSACG. The new requirement states that "the Components shall establish procedures to ensure that the appropriate information is submitted to the National Crime Information Center upon discovery of a confirmed loss, recovery, or theft of the DoD small arms."

b. Disposition: The new requirement was discussed and the group determined that the requirement was levied on the DoD criminal investigative organization and, therefore, did not warrant a change to DoDSASP policy and procedures.

8. MODELS/EDI SMALL ARMS APPLICATION (93-5)

a. Discussion: Mr. Michael Yu, the Logistics Management Institute (LMI) Models/EDI Small Arms Application program manager, presented a briefing on the background to EDI. Handouts of the briefing were provided to the JSACG members. Mr. Yu briefly explained how, under MODELS/EDI, ANSI X12 Product Registration Transaction Set 140, had been selected and revised for use in replacing the current MILSTRAP small arms transactions. Additionally, he explained how the transaction set could be used to specify data such as the product manufacturer, date purchased, date delivered, date placed in service, model number, and serial number, along with other pertinent information. Mr. Yu stated that immediately after the JSACG meeting, the revised transaction set 140 would be submitted to the ASC-X12 Committee to begin the review and approval process.

b. Disposition: Recommend Components review the MODELS/EDI handout and submit any questions and/or comments as agenda items for the next JSACG meeting.

SUBJECT: Summary of DoD Joint Small Arms Coordinating Group (JSACG) Meeting

III. DECISIONS REACHED: Decisions reached are as described in the disposition paragraphs. Due to the length of the discussions that resulted in taskings, agenda items 92-8, DoD Small Arms Serialization Program Plan and 92-10, DoD Performance Goals, were not discussed. Also, the agenda items proposed (and briefly discussed) by the DLA representative were tabled due to lack of time. These issues will be on the agenda at the next meeting. Actions in response to the tasks in the disposition paragraphs are due NLT 60 days after the date of the minutes.

IV. FOLLOWUP ACTIONS REQUIRED: The next JSACG meeting is tentatively scheduled for November 1993. The Chairperson appreciated the attendees participation in making this a successful meeting. Special thanks and best wishes to MAJ Betty Hayes, USA, Capt Bill Jennings, USMC, and Mr. Dick Brown, NAVSEA, for their contribution as members of the DoD JSACG.

5 Encl

Prepared By:



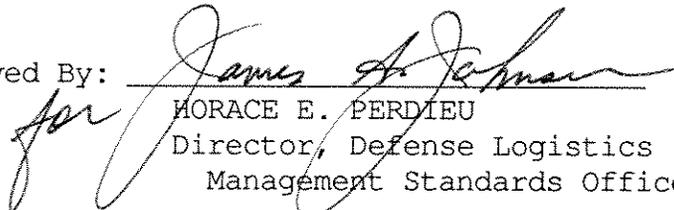
FRANK ST. MARK
Chairperson
Joint Small Arms Coordinating
Group

Reviewed By:



JAMES A. JOHNSON
Chief, Logistics Standards

Approved By:



for

HORACE E. PERDIEU
Director, Defense Logistics
Management Standards Office

DOD JOINT SMALL ARMS COORDINATING GROUP MEETING

AGENDA

23 - 25 FEBRUARY 1993

<u>ITEM NO</u>	<u>TOPIC</u>
	ADMINISTRATIVE COMMENTS
	DOD CENTRAL REGISTRY REPORT
92 - 7	REVISING MILSTRAP CHAPTER 12
	* REVISING CHAPTER 12 AND COMPONENT POLICY/PROCEDURE
	* COMPONENT PROGRAM OVERVIEW
92 - 8	DOD SMALL ARMS SERIALIZATION PROGRAM PLAN 1993 - 1996
92 - 9	DETERMINATION OF REPORTING REQUIREMENTS FOR AIR PISTOLS AND AIR RIFLES
92 - 10	DODSASP PERFORMANCE GOALS
93 - 1	CAPTURED WEAPONS - MARINE CORPS/ARMY STRAWMAN
93 - 2	IDENTIFICATION OF SMALL ARMS ON DATA BASE--ARMY RICC
93 - 3	DOD 4140.1-R MATERIEL MANAGEMENT REGULATION CHAPTER 6, PARAGRAPH "D", DODSASP
93 - 4	DOD PHYSICAL SECURITY OF SENSITIVE CONVENTIONAL ARMS, AMMUNITION, AND EXPLOSIVES, DOD 5100.76-M, SEP 1992
93 - 5	MODELS/EDI SMALL ARMS APPLICATION

Enclosure 1

DOD JOINT SMALL ARMS COORDINATING GROUP MEETING

ATTENDEES

23 - 25 FEBRUARY 1993

NAME	ORGANIZATION	PHONE/DSN	FAX NUMBER
Frank St. Mark	DLMSO	DSN-284-4701 (x411)	703-274-1986
Don C. Whetsel	DLMSO	DSN-284-4701 (x412)	703-274-1986
Betty J. Haynes, MAJ	DADCSLOG	DSN-227-6355	703-697-3324
Sarah Cook	HQ, AMC, AMCLG-MT	DSN-284-9617	703-274-0323
Charles R. Barron, MAJ	USALEA	DSN-977-6032	717-770-6702
Richard E. Brown	NAVSEASYSKOM (62E)	DSN-332-9159	703-602-6782
Homer D. Detrich	NAVSEASYSKOM (62E)	DSN-332-2829	703-602-6782
Sandra M. Nasco	NAVSURFWARCEN CRANE	DSN-482-5826	812-854-1221
J. Richard Weeks	USAF WRALC/LKJL	DSN-468-2367	912-926-2864
Gene Baker, CAPT	HQMC (LPP-3) USMC	DSN-226-1059	703-696-1079
Ed Schillo	USMC MCLB Albany	DSN-567-6600	912-439-5406
Bill Jennings, CAPT	USMC MCLB Albany	DSN-567-6600	912-439-5406
Dave Stewart	DLA-OSC	DSN-667-6475	703-274-6475
Michael J. Shea	DLA-OSC	DSN-667-7783	703-274-3014
Michael Yu	LMI	301-320-7455	301-320-5516

Enclosure 2

DRAFT

(L/MRM)

MEMORANDUM FOR ASSISTANT SECRETARY OF THE ARMY (INSTALLATIONS,
LOGISTICS AND ENVIRONMENT)
ASSISTANT SECRETARY OF THE NAVY (INSTALLATIONS AND
ENVIRONMENT)
ASSISTANT SECRETARY OF THE AIR FORCE (MANPOWER,
RESERVE AFFAIRS, INSTALLATIONS AND ENVIRONMENT)
DIRECTOR, DEFENSE LOGISTICS AGENCY

SUBJECT: Department of Defense Small Arms Serialization Program

The purpose of this memorandum is to emphasize the importance of the Department of Defense Small Arms Serialization Program (DoDSASP).

The necessity for the Armed Services to control weapons, specifically small arms, became evident in the late 1960's when weapons reported stolen or missing from DoD activities were subsequently recovered from radical political groups and criminals. During this time the Gun Control Act of 1968, Public law (PL) 90-618, Chapter 44 Title 18 United States Code, was enacted to provide support to Federal, State and local law enforcement officials in their fight against crime and violence.

In the early 1970's, GAO audits identified serious weaknesses in the controlling and accounting for small arms at DoD activities. Based on these audit findings and provisions of Public Law 90-618, the DoD established policy requiring life-cycle control of small arms by serial number. To accomplish that control, the DoD Small Arms Serialization Program was implemented.

The DODSASP's primary purpose is to provide a special emphasis on, and visibility of, small arms by tracking, reporting, validating, and registering the status of each small arm by serial number. Maintenance and operation of the DoDSASP may seem to provide negligible benefits, however, when criminal acts like the CIA Headquarters incident occur, the benefits become apparent because in compliance with PL 90-618 which requires the private sector to register weapons with the National Crime Information Center Gun File, crucial data was readily available which was instrumental in tracking the weapon and identifying the perpetrator of the crime.

DRAFT

Enclosure 3

DRAFT

(L/MRM) Page 2

SUBJECT: Department of Defense Small Arms Serialization Program

The DoDSASP is mandated by law and supported by DoD Policy to provide a source of information vital to law enforcement officials fight against crime and worldwide terrorist activities. I urge each of you to carefully review resourcing and funding to insure adequate funds are available to maintain a viable program.

DRAFT



DEPARTMENT OF THE ARMY
OFFICE OF THE DEPUTY CHIEF OF STAFF FOR LOGISTICS
U. S. ARMY LOGISTICS EVALUATION AGENCY
NEW CUMBERLAND ARMY DEPOT
NEW CUMBERLAND, PENNSYLVANIA 17070-5007

REPLY TO
ATTENTION OF

8 FEB 1991

LOEA-OS

MEMORANDUM FOR Commander, U.S. Army Armament, Munitions
and Chemical Command, ATTN: AMSMC-MMD-L,
Rock Island, IL 61299-6000

SUBJECT: Determination of Reporting Requirements for Department
of Defense Small Arms Serialization Program (DODSASP)

1. References:

a. AMCCOM memorandum, AMSMC-MMD-L, 11 January 1991, subject:
Reportable Items to DODSASP.

b. DOD 4000.25-2-M, Military Standard Transaction Reporting
and Accounting Procedures (MILSTRAP), Chapter 12.

c. DODI 4140.52, DOD Small Arms Serialization Program
(DODSASP).

2. Reference 1a requested a determination as to whether air
pistols and air rifles require reporting under the DOD Small Arms
Serialization Program. Reference 1b, which implements reference
1c, specifies that the chairman of the Joint Small Arms
Coordinating Group will make such determinations when requested
by a component registry.

3. Air pistols and air rifles do not require reporting within
the DOD Small Arms Serialization Program. The rationale which
supports this ruling is that these items meet neither the letter
nor the intent of the definition of small arms as promulgated in
reference 1b, they are not lethal by nature, and they require no
special security protection under AR 190-11. Additionally, since
their potential for involvement in any activity which could
trigger a law enforcement query of the DODSASP registry is so
extremely remote, it would be a gross waste of time and resources
to mandate DODSASP reporting of air pistols and air rifles.

LOEA-OS

SUBJECT: Determination of Reporting Requirements for Department
of Defense Small Arms Serialization Program (DODSASP)

4. USALEA POC is Mr. Wallace A. Branch, LOEA-OS, DSN 977-7566,
Chairman, Joint Small Arms Coordinating Group.

FOR THE COMMANDER



THOMAS H. HORLER
Colonel, QM
Chief, Logistic Operations
and Surveillance Division

CF:
HQDA (DALO-SMP)

DOD 4140.1-R MATERIEL MANAGEMENT REGULATION , CHAPTER 6, PARAGRAPH D (93-3)

DOD JSACG COMMENTS

1. Page 6-11, para. D.2.b.: Delete "...and approval of the MILSTRAP System Administrator." and add "...concurrence of the JSACG and if necessary, the approval of ASD (P&L)." Reason: To elevate extraordinary deviations from DoD policy and procedures.
2. Page 6-11, para. D.2.c.: Delete "...and all Security Risk Category I nonnuclear missiles and rockets..." Reason: The current DoDI (DoD 4140.52) does not apply to class of supply V (Ammunition) non-nuclear missiles and rocket. The requirement to register, track, etc., Category I non-nuclear missiles and rockets has not been previously promulgated by DoD; however, the Services are tracking Category I non-nuclear missiles and rockets in their internal Class V tracking systems. Recommend that a paragraph be developed to specifically address the registration, tracking, etc., of Class V Category I non-nuclear missiles and rockets. The paragraph should be a separate topic in Chapter 6 of this Regulation rather than buried in the Small Arms Serialization Program (Class VII) requirements.
3. Page 6-11, para. D.3.b.: Add "For **small** arms..." and "...all **small** arms..." Reason: Specificity.
4. Page 6-11, para. D.3.c.: Add new para. D.3.c. as follows and renumber paras. D.3.c., d., e., f. and g. as D.3.d., e., f., g., and h. New paragraph D.3.c., "The DoD Inspector General Shall: (1) Perform periodic evaluation of the Small Arms Serialization Program to include the quality of performance, the accuracy of the processes used to track, report, validate, and register each small arm by serial number. (2) Provide audit finding to the DoD Component that disclose weaknesses in the Small Arms Serialization Program." Reason: To provide oversight capabilities.
5. Page 6-12, para. D.3.f.: Rewrite para. as follows and correctly number para. as D.3.g.: "The DoD Small Arms Serialization Program Administrator shall develop and publish procedural guidelines for small arms, coordinate proposed MILSTRAP changes in accordance with this Regulation, and reconcile problems among the DoD Components." Reason: To specify the Administrator's responsibilities.
6. Page K-1, para. B.2.: Delete "...MILSTRAP Systems Administrator..." Reason: The Small Arms Program Administrator and JSACG Chairperson is now under the DLMSO.
7. Page K-2, para. D.1.e.: Delete "...Systems Administrator.." and add "...to the Supply Process Review Committee Chairperson." Reason: Reorganization under the DLMS.