

June 4, 1998

**RECORD OF CHANGE (ROC)
140A PRODUCT REGISTRATION (Small Arms Reporting)**

This record of change consists of 2 parts. Part I is the result of the September 1997 review by the Logistics Functional Working Group (LFWG). Part II is the result of DLMSO recommended changes based on further analysis of the IC and how it will be used in the DoD small arms reporting program.

Recommend an expedited electronic review by the Supply Process Review Committee (PRC) and LFWG, and then move for public comment. The Supply PRC will address the results of their review at their June 16-18, 1998 meeting.

140A ROC - PART I		
CHANGES RESULTING FROM THE SEPTEMBER 1997 LFWG MEETING		
This table constitutes the record of changes for the DLMS 140A (Small Arms Reporting) implementation convention following the Logistics Functional Working Group (LFWG) meeting held in McLean, VA during the week of Sep 15, 1997.		
Reference Location	Description	Rationale
1/ST02 & 2/SE02	Add notes: ST02 - "A unique number assigned by the originator of the transaction set, or the originator's application program." SE02 - "Cite the same number as the one cited in ST02."	Standard note consistent with other DLMS ICs.
1/BGN/020 BGN01	Delete code 15	For consistency with DLMS which provides only for codes 00 (Original) and code 77 (Simulation Exercise) in BGN01. Intended function is accommodated by adding code AC to BGN08 (see below).
1/BGN/020 code note 77	Correct spelling of "shich" to "which"	Editorial.
1/BGN07/020 code W4 note	Capitalize the "U" in "use" in second sentence. Delete "in conjunction"	Editorial.
1/BGN08/020	Add code AC - Acknowledge with note: "Use to identify the resubmission of a reject transaction for which a response is not received within the allotted time frame. When using, cite code W5 in BGN07."	To provide for functionality that was deleted from BGN01 with deletion of Code 15 (see above.).
1/N1/040 N101 note	Change DE note to read: "Use only one of the following codes."	Segment identifies transaction originator and any of the available codes could apply.
1/PER02/090	Add note: "Provide the last name, first name, middle initial, and rate/rank/title, as required, of the individual named as POC. Include blank spaces between name components and periods after initials. Do not include NMN or NMI when a middle initial is not available."	For clarification.
1/PER03/090	Add notes: "1. Use to identify the preferred method of communication in PER03/04. Use PER05/06 and PER07/08 to identify additional communications numbers. Use additional repetitions of the PER segment to identify more than three numbers." "2. Do not include blank spaces or dashes between numbers."	For clarification.
1/PER05&07/090	Add notes: "1. Use to identify an alternate, or secondary, communications number which can be used to contact the entity identified in PER01." "2. Do not include blank spaces or dashes between numbers."	For clarification.
2/N1/020 Segment notes	Delete data element note.	Note was unnecessary..
2/N106/020	Change data element note "Used" vice "Must Use."	N106 is only used when N101 identifies the organization to which the transaction is being sent.
2/LIN/090 LIN02 code notes	A3 note. Add "(LCN)" after "number" AN note. Add "(MCN)" after "number".	Editorial.

140A ROC -- PART II

Changes resulting from Further Review/Analysis (May 98)

IC REF	COMMENT	PROPOSED REVISION
Transaction Set notes	Clarify transaction set usage	Revise note A to be as follows: 1. Organizations use this transaction set to report events regarding the custody or status of small arms; inquire into the custody or status of small arms; reconcile small arms data records; reject small arms reconciliation reports; follow up on unacknowledged rejections; and to respond to rejections of small arms reconciliation reports. 2. Use a single occurrence of this transaction set to transmit small arms information to one or more organizations. Use one occurrence of this transaction set to transmit single or multiple transactions of the same type
1/BGN07/20	There is no inquiry associated with a small arms reconciliation report. Clarify the language of transaction set flow.	Revise the note to code W5 to be as follows: Use when the transaction set contains a small arms reconciliation report. Use as follows: as an original reconciliation report; or as a rejection of a reconciliation report (use with BGN08 code U); or as a correction to a previously submitted report which was rejected by the receiving registry.
1/BGN07/20	Typographical error. There is no inquiry associated with a small arms reconciliation report. Clarify the language of transaction set flow.	Revise code notes to be as follows: AC: eliminate extra period. T: Eliminate second sentence, beginning: Use in conjunction with BGN07 code W5... U: Use in conjunction with BGN07 code W5 when the transaction set contains a rejection of a small arms reconciliation report.
1/N1/40	Some transactions use a reporting location which is neither a ship-to or ship-from location such as for inquiries	Add code RL to N101 with the following note: Use to identify the reporting location. <i>Activity.</i>
2/N106/20	Some transactions are reported to multiple organizations	Add: "(s)" to the end of the words code and organization in the note to code TO.

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RECORD OF CHANGE
888A ITEM MAINTENANCE (Small Arms Data Change)

This record of change is the result of the September 1997 review by the Logistics Functional Working Group (LFWG). Recommend an expedited electronic review by the Supply Process Review Committee (PRC) and LFWG, and then move for public comment. Supply PRC will address the results of their review at their June 16-18, 1998 meeting.

CHANGES RESULTING FROM THE SEPTEMBER 1997 LFWG MEETING		
This table constitutes the record of changes for the DLMS 888A (Small Arms Data Change) implementation convention following the Logistics Functional Working Group (LFWG) meeting held in McLean, VA during the week of September 15, 1997.		
Reference Location	Change Description	Rationale
1/ST02/010 2/SE02/020	ST02 - "A unique number assigned by the originator of the transaction set, or the originator's application program." SE02 - "Cite the same number as the one cited in ST02."	Adding standard note consistent with other DLMS ICs
1/BGN08/015	Open to indicate rejection and resubmission.	Necessary to accommodate current capability to reject and resubmit small arms transactions.
1/N101/020	Add data element note specifying to "Use only one of codes KK or RL." Add Code KK (Registering Party) with note: "Use only in small arms data change reject to identify the registry issuing the reject." Revise Code RL (Reporting Location) note as follows: "Use to identify the reporting location ^{activity} submitting the Small Arms Data Change transaction."	To accommodate current functionality.
2/G3902/040	Code A3 - Add: "(LCN)" after "number" Code AN - Add: "(MCN)" after "number"	Editorial. Acronyms will be used later in IC.
G3902	Code FS - Delete note	Same as 2/G39/040 rationale.
2/N9/151 N901 code notes	Code A3 - Replace "local control number" with "LCN" Code X9 - Replace "management control number" with "MCN"	Editorial. Acronym was identified in 2/G3902/040.
2/N907/151 C04001	Change Code ZM to code W7 - CAGE Code. Use same note	For consistency with qualifier used for CAGE in other DLMS ICs.
2/N101/156	Add data element note: "Use one of code RL or KK." Add code RL - Reporting Location Code KK: delete word "Must" from note. Add data element note specifying to "Use only one of codes KK or RL." Add Code RL (Reporting Location) with note: "Use only in small arms data change reject to identify the reporting location ^{activity} to receive the transaction." Revise Code KK (Registering Party) note as follows: "Use to identify the registry to receive the Small Arms Data Change transaction."	To accommodate current functionality.
2/N101/156	Codes QD and ZA. End sentences at "organization". Delete "'s DODAAC."	DLMS does not restrict entry to DODAAC.
2/N106/156	Revise code TO note as follows: Must use with 2/N101/156 code KK or RL to indicate that the organization cited in N104 is receiving the transaction set.	For consistency with DLMS policy of specifying, whenever possible, which N101 codes N106 can be used with.
2/G5503/165 code note	Change "UII" to "UIP".	Editorial